

<b>FORM 1</b> <b>THE PATENTS ACT 1970</b> <b>(39 OF 1970)</b> <b>&amp;</b> <b>The Patents Rules, 2003</b> <b>APPLICATION FOR GRANT OF PATENT</b> <b>(See section 7,54 &amp; 135 and rule 20 (1))</b>		<b>(FOR OFFICE USE ONLY)</b>  <b>Application No.:</b> <b>Filing Date:</b> <b>Amount of Fee Paid:</b> <b>CBR No.:</b> <b>Signature:</b>		
<b>1. APPLICANT</b>				
Name		Nationality		Address
<b>2. INVENTOR ( S )</b>				
Name		Nationality		Address
<b>3. TITLE OF THE INVENTION</b>				
<b>4. ADDRESS FOR CORRESPONDENCE OF APPLICANT / AUTHORIZED PATENT AGENT IN INDIA</b>				Telephone No. : Fax No. Mobile No. E-mail :
<b>5. PRIORITY PARTICULARS OF THE APPLICATION (S) FILED IN CONVENTION COUNTRY</b>				
Country	Application Number	Filing Date	Name of the Applicant	Title of the Invention
<b>6. PARTICULARS FOR FILING PATENT COOPERATION TREATY (PCT) NATIONAL PHASE APPLICATION</b>				
International application number				
<b>7. PARTICULARS FOR FILING DIVISIONAL APPLICATION</b>				
Original (First) Application Number			Date of Filing of Original (First) Application	
<b>8. PARTICULARS FOR FILING PATENT OF ADDITION</b>				
Main Application/Patent Number			Date of Filing of Main Application	
<b>9. DECLARATIONS :</b>				
<b>(i) Declaration by the Inventor (s)</b> I/We, the above named inventor(s) are the true & first inventor(s) for this invention and declare that the applicant(s) herein is/are my/our assignee or legal representative. (a) Date (b) Signature (s) (c) Name(s)				
<b>(ii) Declaration by the applicant (s) in the convention country</b> I/We, the applicant(s) in the convention country declare that the applicant(s) herein is our assignee (a) Date (b) Signature (s) (c) Name(s) of the signatory				

**(iii) Declaration by the applicant (s):**

**I/We, the applicant(s) hereby declare(s) that:**

- We are in possession of the above – mentioned invention.
- The provisional/complete specification relating to the invention is filed with this application.
- The invention as disclosed in the specification uses the biological material from India and the necessary permission from the competent authority shall be submitted by me/us before the grant of patent to me/us.
- There is no lawful ground of objection to the grant of the Patent to me/us.
- I am/We are the assignee or legal representative of true & first inventors.
- The application or each of the applications, particulars of which are given in Para – 5 was first application in convention country/countries in respect of my/our invention.
- I/We claim the priority from the above mentioned application(s) filed in convention country/countries and state that no application for protection in respect of the invention had been made in a convention country before that date by me/us or by any person from which I/We derive the title.
- My/our application in India is based on international application under Patent Cooperation Treaty (PCT) as mentioned in Para – 6.
- The application is divided out of my/our application particulars of which are given in Para – 7 and pray that this application may be treated as deemed to have been filed on \_\_\_\_\_ under sec. 16 of the Act.
- The said invention is an improvement in or modification of the invention particulars of which are given in Para – 8

**10. Following are the attachments with the application:**

- (a) Complete specification.
  - (b) Complete specification (in conformation with the international application) / as amended before the International Preliminary Examination Authority (IPEA), as applicable (2 copies ).  
No. of pages ..... No. of claims .....
  - (c) Drawings (in conformation with the international application) / as amended before the International Preliminary Examination Authority (IPEA), as applicable (2 copies). No. of sheets .....
  - (d) Priority documents
  - (e) Translation of priority document / specification/ International Search Report
  - (f) Statement and undertaking on Form 3
  - (g) Power of Authority
  - (h) Declaration of inventorship on Form 5
  - (i) Sequence listing in electronic form (floppy disc)
  - (j) .....
- Fee Rs. ....in Cash/Cheque/Bank Draft bearing no. ....  
Date ..... On ..... Bank.

I/We hereby declare that to the best of my/our knowledge, information and belief the fact and matters stated herein are correct and I/We request that a patent may be granted to me/us for the said invention.

Dated this ..... day of ..... 20.....

Signature :-  
Name :

To,  
The Controller of Patent  
The Patent Office, at Mumbai

# FORM 2

## THE PATENTS ACT, 1970 (39 of 1970)

### PROVISIONAL / COMPLETE SPECIFICATION

(See section 10 and rule 13)

<b>1. TITLE OF THE INVENTION</b>	
<b>2. APPLICANT(S)</b> (a) NAME (b) NATIONALITY (c) ADDRESS	
<b>3. PREAMBLE TO THE DESCRIPTION</b>	
<b>PROVISIONAL</b> The following specification describes invention	<b>COMPLETE</b> The following specification particularly describes the invention and the manner in which it is to be performed
<b>4. DESCRIPTION</b> (Description shall start from next page)	
<b>5. CLAIMS</b> (not applicable for provisional specification. Claims should start with the preamble – “I/We claim” on separate page)	
<b>6. DATE AND SIGNATURE</b> ( to be given on the last page of specification)	
<b>7. ABSTRACT OF THE INVENTION</b> (to be given along with complete specification on the separate page)	
<b>Note:</b> *Repeat boxes in case of more than one entry *To be signed by the applicant(s) or the authorized registered patent agent *Name of the applicant should be given in full, family name in the beginning *Complete address of the applicant should be given stating with postal index no. / code, state and country *Strike out the column which is/are not applicable	

**FORM 3**  
**THE PATENT ACT, 1970**  
**( 39 OF 1970 )**  
**&**  
**The Patents Rules, 2003**  
**STATEMENT AND UNDERTAKING UNDER SECTION 8**  
**( See section 8, rule 12 )**

1. Namely of the applicant(s) I/We .....

hereby declare

2. Name, address and nationality of the joint applicant :

(i) that I/We who have made any application for the same/substantially the same invention outside India.

Or

(ii) that I/We who have made this application No. .... Dated ..... alone/jointly, made for the same/substantially same invention, application(s) for patent in the other countries, the particulars of which are given below :

Name of the country	Date of Application	Application No.	Status of the application	Date of publication	Date of grant
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3. Name and address of the assignee

(iii) that the rights in the application(s) has/have been assigned to ..... and that I/We undertake that upto the date of grant of the patent, by the Controller. I/We would keep him informed in writing the details regarding corresponding applications for patents filed outside India within three months from the date of filing of such application.

Dated this ..... day of ..... 20

4. To be signed by the applicant or his authorized registered patent agent.

Signature

5. Name of the natural person who has signed. ....

To,  
The Controller of Patent  
**The Patent Office, Mumbai**

**FORM 5**  
**THE PATENT ACT, 1970**  
**( 39 OF 1970 )**  
**&**  
**The Patents Rules, 2003**  
**DECLARATION AS TO INVENTORSHIP**  
**[See section 10(6) and rule 13(6)]**

**1. NAME OF APPLICANT (S)**

hereby declare that the true and first inventor(s) of the invention disclosed in the complete specification filed in pursuance of my / our application numbered ..... dated ..... is/are

**2. INVENTOR (S)**

- a. Name:
- b. Nationality :
- c. Address:

Dated this ..... day of ..... 20

Signature :-  
Name of the signatory :-

**3. DECLARATION TO BE GIVEN WHEN THE APPLICATION IN INDIA IS FILED BY THE APPLICANT (S) IN THE CONVENTION COUNTRY:-**

We the applicant(s) in the convention country hereby declare that our right to apply for a patent in India is by way of assignment from the true and first inventor(s). o

Dated this ..... day of ..... 20

Signature :-  
Name of the signatory :-

**4. Statement** (to be signed by the additional inventor(s) not mentioned in the application form)  
I/We assent to the invention referred to in the above declaration, being included in the complete specification filled in pursuance of the stated application.

Dated this ..... day of ..... 20

Signature of the additional inventor (s) :-  
Name :-

To,  
The Controller of Patent  
The Patent Office, at Mumbai

**Note :**

- Repeat boxes in case of more than one entry.
- To be signed by the applicant(s) or by authorized registered patent agent otherwise where mentioned.
- Name of the inventor and applicant should be given in full, family name in the beginning.
- Complete address of the inventor should be given stating the postal index n./code, state and country.
- Strike out the column which is/are not applicable.